Whole-Exome Sequencing in Papillary Microcarcinoma: Potential Early Biomarkers of Lateral Lymph Node Metastasis

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Background: Early identification of patients with high-risk papillary thyroid microcarcinoma (PTMC) that is likely to progress has become a critical challenge. We aimed to identify somatic mutations associated with lateral neck lymph node (LN) metastasis (N1b) in patients with PTMC.

Methods: Whole-exome sequencing (WES) of 14 PTMCs with no LN metastasis (N0) and 13 N1b PTMCs was performed using primary tumors and matched normal thyroid tissues.

Results: The mutational burden was comparable in N0 and N1b tumors, as the median number of mutations was 23 (range, 12 to 46) in N0 and 24 (range, 12 to 50) in N1b PTMC (P = 0.918). The most frequent mutations were detected in PGS1, SLC4A8, DAAM2, and HELZ in N1b PTMCs alone, and the K158Q mutation in PGS1 (four patients, Fisher’s exact test P = 0.041) was significantly enriched in N1b PTMCs. Based on pathway analysis, somatic mutations belonging to the receptor tyrosine kinase-RAS and NOTCH pathways were most frequently affected in N1b PTMCs. We identified four mutations that are predicted to be pathogenic in four genes based on Clinvar and Combined Annotation-Dependent Depletion score: BRAF, USH2A, CFTR, and PHIP. A missense mutation in CFTR and a nonsense mutation in PHIP were detected in N1b PTMCs only, although in one case each. BRAF mutation was detected in both N0 and N1b PTMCs.

Conclusion: This first comprehensive WES analysis of the mutational landscape of N0 and N1b PTMCs identified pathogenic genes that affect biological functions associated with the aggressive phenotype of PTMC.

Keywords: Biomarkers; Mutation; Neoplasm metastasis; Papillary thyroid microcarcinoma; Whole exome sequencing

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INTRODUCTION

The incidence of thyroid cancer has increased worldwide, and most of these newly diagnosed cancers are papillary thyroid microcarcinomas (PTMCs), defined as tumors of size ≤1 cm [1]. Although most PTMCs exhibit an indolent clinical course, some cases show an aggressive phenotype with poor prognosis [2]. It is not clear which PTMCs require more aggressive treatments and more careful surveillance. Therefore, at the time of diagnosis, the identification of patients with high-risk PTMC that is likely to progress has become one of the most important challenges in the management of PTMC. To enable early assessment of patients with high-risk PTMC, it is crucial to discover the pathobiological mechanisms that govern the initiation of PTMC metastasis and tumor growth.

Lateral neck lymph node (LN) metastasis (N1b) is a representative risk factor for disease progression, aggressive tumor behavior, high disease recurrence, and mortality [3-5]. Although it is known that LN metastasis can be caused by unique molecular alterations, studies on the mutation profiles of PTMC with N1b are lacking [6,7]. A recent study reported differential RNA expression of 43 genes between PTMCs with N1b and PTMCs without nodal disease (N0) by using a custom panel of 248 RNAs [6]. In that study, the mutations found in the telomerase reverse transcriptase (TERT) promoter and in TP53 were exclusive to N1b cases [6]. Another study performed targeted next-generation sequencing (NGS) using a panel of 382 genes in PTMCs with metastatic LNs, demonstrating that the mutational status of the primary tumors did not significantly differ from that of the corresponding metastatic LN [7]. Both studies performed targeted sequencing analysis using customized NGS panels, and the biological role of the identified genomic alterations is still under examination.

Whole-exome sequencing (WES) is an effective approach to identify cancer mutations in coding regions of the genome, allowing for the identification of potential causative alterations in as-yet unknown genes [8]. WES can provide more comprehensive data than can be achieved through targeted sequencing panels [9,10]. To date, WES has allowed the identification of genomic mutation profiles in several cancer types [11-13]. However, to the best of our knowledge, no studies have employed WES to compare low-risk (N0) and high-risk (N1b) PTMCs.

Thus, we hypothesized that WES analysis of the primary tumors could identify novel key genes associated with the aggressive phenotype that is observed in a subset of PTMCs. We performed WES on normal and tumor DNA pairs in 14 N0 and 13 N1b patients to compare the mutational profiles in N0 and N1b PTMCs and discover pathogenic genes potentially contributing to the aggressive tumor phenotype.

METHODS

Patients and sample collection

This study included patients with PTMC (14 N0 and 13 N1b) who underwent initial thyroid surgery at the Pusan National University Hospital, Busan, Korea. The biospecimens and data used for this study were provided by the Biobank of the Pusan National University Hospital, a member of the Korea Biobank Network. Tumor and the matched normal fresh frozen tissues were collected through several steps by pathologists. In detail, after gross examination, non-necrotic portions were excised from the resected tumor specimens by pathologists and were immersed in liquid nitrogen immediately. Matched normal thyroid tissue was obtained 2 cm away from the tumor. Sample adequacy for tumor content was assessed at the time of procurement and again on processed blocks by an experienced pathologist (K.U.C.). All tumor samples used in this study passed the purity assessment, and the average tumor cell content was 65%. Written informed consent was obtained from all participants prior to the survey, and the protocol of this study was approved by the Institutional Review Board of the Pusan National University Hospital, Busan, Korea (No. H-1804-021-065).

DNA preparation and sequencing

DNA was extracted from fresh frozen tissue samples using the QuickGene DNA tissue kit S with a QG-Mini80 instrument (Kurabo, Osaka, Japan). SureSelect sequencing libraries were prepared according to the manufacturer’s instructions (Agilent SureSelectXT Human All exon V5, Agilent, Santa Clara, CA, USA) by using the Bravo automated liquid handler. Sequencing was performed using an Illumina Novaseq 6000 system following the provided protocols for 2×100 sequencing at DNA Link Inc. (Seoul, Korea).

Variant calling and data processing

Quality assessment for the generated WES was performed using FastQC version 0.11.5 [14]. Burrows-Wheeler Aligner version 0.7.12 [15] was used for mapping and alignment based on Genome Reference Consortium Human Build 37 (GRCh37). Next, GATK version 3.5 was used for realignment and recalibration [16]. Variant calling for single nucleotide variants and indels was performed using MuTect version 1.1.7 [17] and Strelka ver-
sion 1.0.14 [18]. Input sequence data from matched pairs for tumor and normal DNA were analyzed using MuTect/Strelka and, after removal of low-quality reads, the presence of variants in addition to expected random sequencing errors was determined [17,19]. Statistical analysis was performed to identify sites that may have a high probability for the occurrence of somatic mutations by calculating a log-odds score with high confidence. The score was compared to a cutoff determined using the log ratio of prior probabilities of the events under consideration. To reduce the risk of identification of false positives and remove artifacts, six different filters were used to screen candidate variant sites: (1) proximal gap; (2) poor mapping; (3) triallelic sites; (4) strand bias; (5) clustered positions; and (6) presence in the controls [17]. Somatic mutations were identified by comparing the sequencing data for matched tumor and normal samples, and we filtered germline mutations using the single nucleotide polymorphism (SNP) database build 134 and 1,000 Genome data. To reduce the occurrence of false positives, we filtered variants showing <5% variant allele frequency and with total coverage under 15×. Furthermore, missense SNVs and loss of function variants were used for subsequent analyses. The identified variants were annotated by Oncotator v1.8.0 [20] for functional information. Information on variant pathogenicity was annotated using ClinVar and Combined Annotation-Dependent Depletion (CADD) [21]. Variants with CADD scores over 30, which are predicted to be the 0.1% most deleterious substitutions possible in the human genome [21], were defined as pathogenic mutations.

### Statistical analysis

Statistical analyses were performed by using the R program version 3.5.1 (R Foundation for Statistical Computing, Vienna, Austria). Continuous variables are presented as medians with interquartile range (IQR) and were analyzed by Student’s *t* test. Categorical variables are presented as frequencies and expressed as percentages, and they were analyzed by chi-square test. The MafCompare function in the Maftools package was used to determine associations between differentially mutated genes and lateral neck disease, wherein the mutation load for each gene was compared by Fisher’s exact test [22]. To compare the somatic mutation profiles of papillary thyroid carcinoma (PTC) using The Cancer Genome Atlas (TCGA), we obtained WES data including information on primary tumor sizes and LN metastasis from 291 patients [23]. *P*<0.05 was considered statistically significant.

### RESULTS

#### Baseline characteristics

The baseline characteristics of the 14 N0 and 13 N1b PTMCs are listed in Table 1. All patients had classical PTC. The median age of the patients at the time of initial thyroidectomy was 50 years (IQR, 46 to 61), and 23 (85%) patients were female. The median primary tumor size was 0.9 cm (IQR, 0.7 to 1.0), with no significant differences between the N0 and the N1b group (*P*=0.871). Microscopic and gross extra-thyroidal extension

<table>
<thead>
<tr>
<th>Characteristic</th>
<th>Total (n=27)</th>
<th>N0 (n=14)</th>
<th>N1b (n=13)</th>
<th><em>P</em> value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age at diagnosis, yr</td>
<td>50 (46–61)</td>
<td>52 (44–64)</td>
<td>50 (46–59)</td>
<td>0.691</td>
</tr>
<tr>
<td>Female sex</td>
<td>23 (85)</td>
<td>11 (79)</td>
<td>12 (92)</td>
<td>0.664</td>
</tr>
<tr>
<td>Primary tumor size, cm</td>
<td>0.9 (0.7–1.0)</td>
<td>0.9 (0.7–1.0)</td>
<td>0.9 (0.7–1.0)</td>
<td>0.871</td>
</tr>
<tr>
<td>Extrathyroidal extension</td>
<td></td>
<td></td>
<td></td>
<td>0.015</td>
</tr>
<tr>
<td>Microscopic</td>
<td>16 (59)</td>
<td>6 (43)</td>
<td>10 (77)</td>
<td></td>
</tr>
<tr>
<td>Gross</td>
<td>2 (7)</td>
<td>0</td>
<td>2 (15)</td>
<td></td>
</tr>
<tr>
<td>Multifocal</td>
<td>6 (22)</td>
<td>1 (7)</td>
<td>5 (39)</td>
<td>0.136</td>
</tr>
<tr>
<td>Lymphovascular invasion</td>
<td>2 (7)</td>
<td>0</td>
<td>2 (15)</td>
<td>0.430</td>
</tr>
<tr>
<td>No. of removed LNs</td>
<td>16 (4–35)</td>
<td>4 (2–8)</td>
<td>35 (32–50)</td>
<td>&lt;0.001</td>
</tr>
<tr>
<td>No. of metastatic LNs</td>
<td>7 (3–15)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Maximal size of metastatic LNs, mm</td>
<td>12 (7–12)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Presence of extranodal extension</td>
<td>5 (39)</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Values are expressed as median (interquartile range) or number (%). LN, lymph node.
Whole-exome sequencing and identified somatic mutations

We sequenced 27 matched DNA sample pairs from primary tumors and normal thyroid tissues. WES was performed to 100× coverage at the raw data level, and a median target coverage depth of 67× (range, 53× to 91×) in primary tumors and 70× (range, 50× to 99×) in matched normal thyroid tissues was followed (Supplemental Table S1). After calling variants with MuTect and Strelka, with highly sensitive algorithms and specific cutting-edge mutation calling methods, we identified 313 and 281 significant somatic variants in N0 and N1b tumors, respectively. The mutation burden was not significantly different between N0 and N1b tumors, with a median of 23 (range, 12 to 46) and 24 mutations (range, 12 to 50) in N0 and N1b PTMCs, respectively (P=0.918) (Supplemental Fig. S1). The top 20 genomic alterations across cases are shown in Fig. 1A, Supplemental Table S2. The most frequently mutated genes were DPP6, and PGSI, each occurring in four cases. Mutations in PGSI, SLC4A8, DAAM2 and HELZ were detected only in N1b disease, and the K158Q mutation in PGSI was significantly enriched in N1b PTMCs (four cases; Fisher’s exact test P=0.041).

BRAF mutations, all represented by the V600E mutation, were detected in three PTMCs (11%), i.e., two N0 (14%) tumors and one N1b (8%) tumor. Somatic alterations in genes included those that are a part of the receptor tyrosine kinase (RTK)-RAS signaling pathway; mutations in BRAF, CBLC, IRS1, NF1, and NTRK2 in N0 and BRAF, MAPK1, and RASGRP2 in N1b were most frequently detected in patients with PTMC (Fig. 2). The RTK-RAS and NOTCH pathways were impacted in three N1b patients. In the TCGA dataset, the BRAF V600E mutation was the most frequent alteration (54%), and was detected more frequently in N1b (66%) than N0 PTCs (49%, P=0.002) (Fig. 1B). The NRAS mutation was identified in 11% of patients with PTC, and the frequency of detection was higher in N0 (13%) than N1b tumors (3%, P=0.013). The BRAF V600E mutation was confirmed via polymerase chain reaction (PCR)-based Sanger sequencing (Supplemental Fig. S2).

Pathogenic mutations in N0 and N1b PTMCs

To identify mutations implicated in the aggressive PTMC phenotype, we evaluated pathogenic mutations using ClinVar and the CADD scores (>30). We identified a median of 3 (range, 1 to 11) pathogenic mutations per case among N0 PTMCs and 4 (range, 2 to 7) among N1b PTMCs (Supplemental Tables S3, S4). Among them, BRAF, USH2A, CFTR, and PHIP mutations were classified as pathogenic based on both ClinVar and CADD scores (Table 2). In N0 PTMC, the BRAF V600E mutation (two cases) and a nonsense mutation in USH2A (one case) were detected. In N1b PTMC, the V600E mutation in BRAF (one case), a missense mutation in CFTR (one case), and nonsense mutations in USH2A (one case) and PHIP (one case) were detected. The CFTR V561E mutated N1b PTMC showed microscopic ETE with histological features of Hashimoto’s thyroiditis. Another single N1b PTMC harbored a nonsense mutation in PHIP (p.Y235*) and showed microscopic ETE and lymphovascular invasion. Both tumors were multifocal PTMCs. In the TCGA dataset, one N0 PTC harbored a missense mutation in CFTR (p.L702I) and there were no tumors that showed mutations in PHIP.

DISCUSSION

In this study, we used WES to identify important genomic alterations that might impact the aggressiveness of PTMC. Although the mutational burden did not vary between N0 and N1b PTMCs, the mutation profiles were distinct in the two groups of tumors. The most frequent mutations were detected in PGSI, SLC4A8, DAAM2, and HELZ in N1b PTMCs alone, and the K158Q mutation in PGSI was significantly enriched in N1b PTMCs. Pathway analysis showed that somatic mutations in the RTK-RAS pathway were most frequent, and these results supported those from studies using TCGA data which identified a critical role for the MAPK pathway alterations in PTC [23]. In N1b PTMCs, the RTK-RAS and NOTCH pathways were affected in 19% of patients. We identified four mutations that are predicted to be pathogenic in four genes: BRAF, USH2A, CFTR, and PHIP. Mutations in BRAF (two in N0 and one in N1b) and USH2A (one each in N0 and N1b) were detected in both N0 and N1b PTMCs. However, CFTR and PHIP mutations were differ-
Fig. 1. OncoPrint representation of DNA profiles obtained by whole-exome sequencing. The top 20 genomic alterations in N0 and N1b papillary thyroid carcinomas (PTCs) concerning matched non-tumoral thyroid tissue are shown. Data from (A) 27 micro-PTCs in our study cohort and (B) 291 PTCs in the The Cancer Genome Atlas (TCGA) database is shown. The color of the grid map indicates the type of alterations. LN, lymph node.
entially present in N1b, although only in one case. These results suggested that mutation may be heterogeneously distributed in PTMCs. Thus, further research is needed on whether mutations in BRAF and USH2A are acquired at the early stage during tumor progression and whether mutations of CFTR and PHIP are specific to LN metastasis.

The presence of the BRAF V600E mutation was not predictive of clinically significant lateral neck LN metastasis. This mutation plays an important oncogenic role in thyroid tumorigenesis through the activation of the MAPK pathway [24]. Notably, most studies have shown that aggressive behavior is observable in a subset of PTMCs harboring the BRAF V600E mutation [25,26]. However, in accordance with our study, a recent NGS-based genomic analysis demonstrated that BRAF V600E alterations are present at approximately equal frequencies in N0 and N1b PTMCs [6]. The results from our study and the previous study [6], which were specifically designed to evaluate differences in genomic alterations between N0 and N1b PTMCs, indicate that testing for BRAF V600E mutation alone has limited value in predicting the development of LN metastasis in patients with PTMC.

Alterations in the CFTR gene can cause cystic fibrosis, which

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**Table 2. Summary of the Identified Variants Predicted to be Pathogenic by ClinVar and CADD Scores (>30) in N0 and N1b PTMCs**

<table>
<thead>
<tr>
<th>Case ID</th>
<th>Gene</th>
<th>Gene ID</th>
<th>Chromosome</th>
<th>Start position</th>
<th>End position</th>
<th>cDNA change</th>
<th>Protein change</th>
<th>Variant classification</th>
<th>VAF</th>
<th>Lymph node metastasis</th>
</tr>
</thead>
<tbody>
<tr>
<td>Case 2</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Case 14</td>
<td>BRAF</td>
<td>NM_004333.4</td>
<td>7</td>
<td>140453136</td>
<td>140453136</td>
<td>c.1799T&gt;A</td>
<td>p.V600E</td>
<td>Missense</td>
<td>0.18</td>
<td>N0</td>
</tr>
<tr>
<td>Case 20</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Case 12</td>
<td>USH2A</td>
<td>NM_206933.2</td>
<td>1</td>
<td>216052393</td>
<td>216052393</td>
<td>c.8271T&gt;G</td>
<td>p.Y2757*</td>
<td>Nonsense</td>
<td>0.06</td>
<td>N0</td>
</tr>
<tr>
<td>Case 26</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Case 27</td>
<td>CFTR</td>
<td>NM_000492.3</td>
<td>7</td>
<td>117230409</td>
<td>117230409</td>
<td>c.1682C&gt;A</td>
<td>p.A561E</td>
<td>Missense</td>
<td>0.12</td>
<td>N1b</td>
</tr>
<tr>
<td>Case 20</td>
<td>PHIP</td>
<td>NM_017934.5</td>
<td>6</td>
<td>79735777</td>
<td>79735777</td>
<td>c.705T&gt;G</td>
<td>p.Y235*</td>
<td>Nonsense</td>
<td>0.25</td>
<td>N1b</td>
</tr>
</tbody>
</table>

CADD, Combined Annotation-Dependent Depletion; PTMC, papillary thyroid microcarcinoma; VAF, variants allele frequency.
affects the epithelial tissues of various organs, including the respiratory, gastrointestinal, and urogenital system [27,28]. Subclinical hypothyroidism associated with cystic fibrosis caused by iodine fluxes is known to be mediated by CFTR in the thyroid [27]. Cystic fibrosis is also correlated with an increased risk of thyroid cancer [29]. Oh et al. [30] reported that in PTC, two SNPs (rs4148682, promoter; rs213950, p.V470M) in CFTR have prognostic significance and may be associated with clinical features such as multifocality, cancer location, and cervical LN metastasis. In the TCGA database, the missense L702I mutation in CFTR was detected in a multifocal PTC (3.2 cm in the great dimension) along with N0 tumors. In this study, the missense SNP (rs121909047, p.A561E) in CFTR have prognostic significance and may be associated with clinical features such as multifocality, cancer location, and cervical LN metastasis. In the TCGA database, the missense L702I mutation in CFTR was detected in a multifocal PTC (3.2 cm in the great dimension) along with N0 tumors. In this study, the missense SNP (rs121909047, p.A561E) in CFTR was detected in a PTMC with N1b tumors. Additional analysis is required to verify the association between the A561E mutation in CFTR, aggressive phenotypes, and prognosis of PTMC.

A nonsense mutation in PHIP (p.Y235*) was detected in one N1b PTMC with an aggressive phenotype. PHIP has a WD40 repeat and two bromodomains and is expressed in a wide range of tissues including the thyroid gland. A recent in vivo study identified a role for PHIP as a potent tumor suppressor in B-cell lymphomagenesis [31]. The authors found that mice transduced with a PHIP sgRNA showed significantly accelerated B-cell lymphoma development. In addition, B-cell lymphomas harvested from these animals showed significantly reduced PHIP expression. To our knowledge, no studies have investigated the molecular function or clinical impact of PHIP mutations on thyroid cancer. Additional studies are required to characterize the role of PHIP mutations as tumor suppressors in thyroid cancer in detail.

A nonsense mutation in USH2A (c8271T>G, p.Y2757*) was detected in both N0 and N1b PTMCs. It is different from the missense mutations (I2189V, D798V, E4571K, and L1727F) in USH2A that were reported in anaplastic thyroid cancer [32]. This gene encodes a protein called usherin, an important component of basement membranes [33], and is one of the top 10 mutated genes across various human tumor types [34]. Alterations in the extracellular matrix or cellular adhesion functions can lead to progression to highly invasive and metastatic tumors.

The most significant difference relative to the TCGA data was a relatively low prevalence of the BRAF V600E mutation. The frequency of BRAF mutated tumor in our study is also lower than that of previously reported (29% to 83%) [24]. There are a few possible reasons for this discrepancy, including insufficient sequencing depth. Regarding the coverage depth of WES, PCR-based Sanger sequencing was performed on all tumor samples to overcome the insufficient sequencing depth. We only detected the BRAF V600E mutation in three cases, Case 2, Case 14, and Case 20 (Supplemental Fig. S2), as in the WES results. These results suggest the possibility of identifying driver mutations by WES analysis despite low coverage depth. Therefore, regarding the discrepancy in the frequency of BRAF mutation in thyroid cancer, we need to consider a variety of issues, including patient clinical characteristics, histological type of samples, sample number, and detection methods, as compared with other studies. The limited number of samples and a high proportion of indolent tumors in our study cohort may cause this discrepancy.

Our study has several limitations. First, as the metastatic LN tissue samples were difficult to obtain from the enrolled patients, we evaluated the mutational profiles of primary tumors only. The use of matched normal thyroid tissue as a normal DNA control, rather than matched leukocyte DNA or other matched normal DNA, also likely affected the mutation profiles. Second, exome sequencing is limited in detecting copy number variations and structural rearrangement. Further, the Agilent SureSelect V5 capture does not capture the TERT promoter region. Nonetheless, it should be taken into account that some of the identified factors may be potential early predictors of PTMC progression.

This first comprehensive WES analysis of the mutational landscape of N0 and N1b PTMCs identified pathogenic genes potentially associated with the aggressive PTMC phenotype. These results may be clinically important in identifying patients who may benefit from radioactive iodine treatment or completion of thyroidectomy if not performed at the time of diagnosis. Future studies are needed to clarify the molecular role of these alterations in the development of lateral neck LN metastasis in PTMC.

CONFLICTS OF INTEREST
No potential conflict of interest relevant to this article was reported.

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